

# ***Satellite Remote sensing over water surfaces***

II-Active sensing of the water surface

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# Outline of the Lecture (Part I)):

## 1) Introduction to pulse radars:

- Remote sensing methods: satellite active sensors
- Real Aperture Radar
- Synthetic Aperture Radar (range and azimuth resolutions)

## 2) Radar backscatter from the water surface

- Bragg scattering (resonant scattering)
- Non-Bragg scattering: wave breaking

## 3) Dual polarizarion radar probing

- Example of a dynamic feature detected in dual-pol.

## 4) Job Announcement!

# Introduction

**Radar = Radio Detection and Ranging**

**Analogous terms:**

**Lidar = Light Detection and Ranging**

**Sodar = Sound Detection and Ranging**

# Types of radars:

- **Non-imaging radars**, e.g., radar altimeter
- **Imaging radars**
  - a) **with a rotating antenna**, e.g., a ship radar or an airfield surveillance radar
  - b) **with an antenna mounted on a moving platform**, e.g., on an aircraft or a satellite

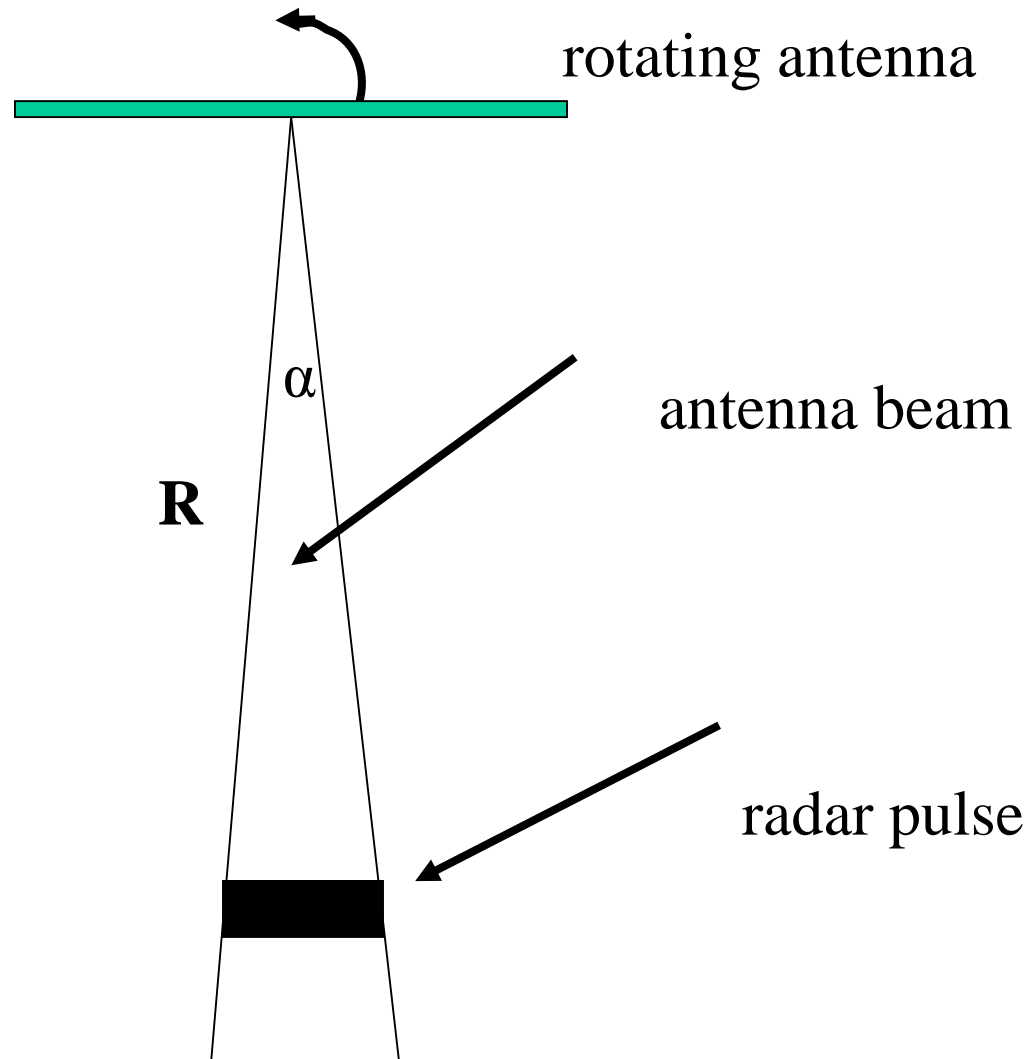
# Ship radar:

range resolution:

$$\rho_r = c \tau / 2$$

azimuth resolution:

$$\rho_a = \alpha R$$



Nordsee Cat No 1

Image No. 2 of 32

Date 10-12-1999

Time 08:22:19

Antenna RPT 1.51 sec

Sampl.freq. 32.00 MHz

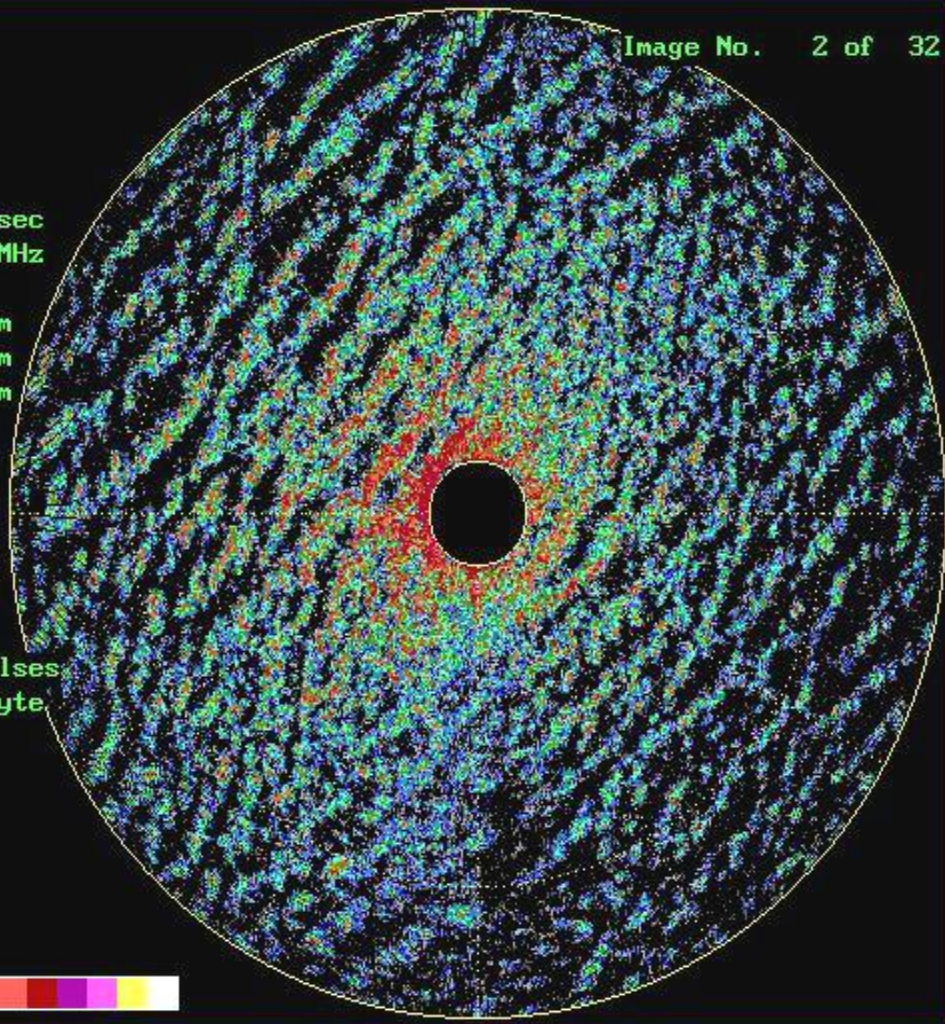
Begin Range 150 m

End Range 1350 m

Range Rings 500 m

Trigger: 1150 pulses

Image size: 287 kByte



**Example of a wave field imaged by a ship radar ( WaMoS)**

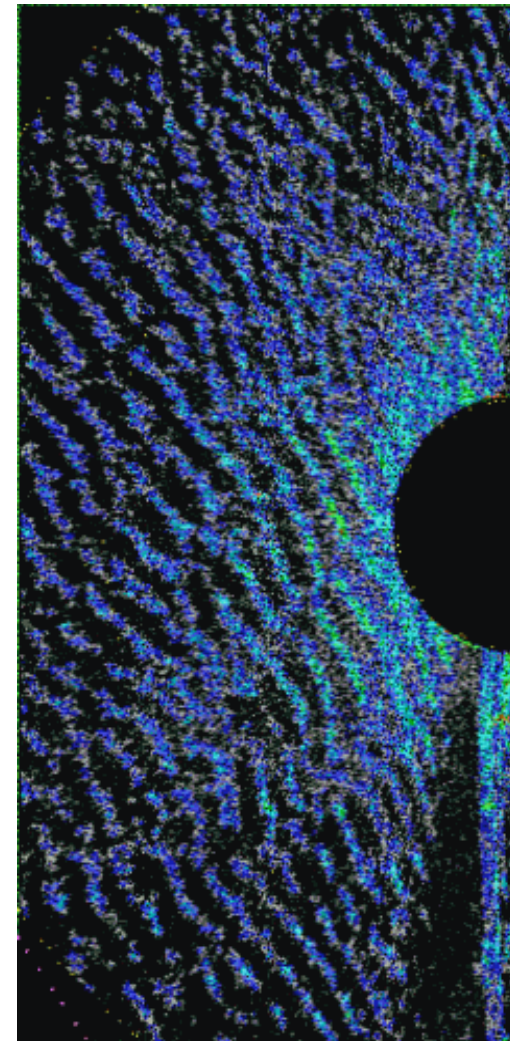
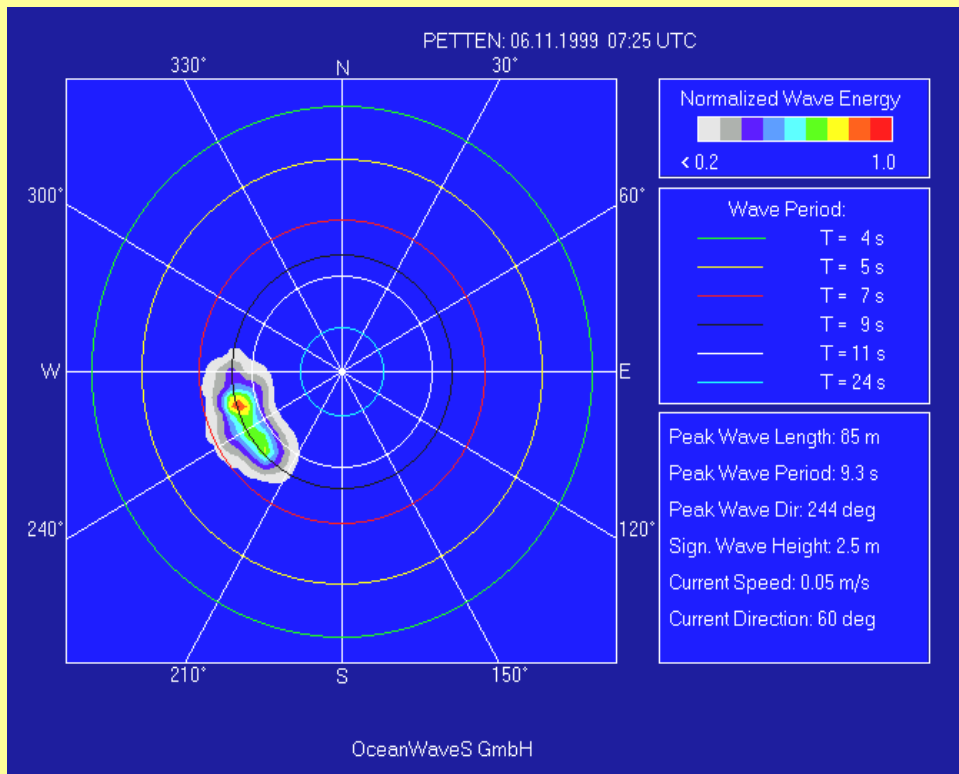
The antenna is located in the centre of the image

Courtesy of OceanWaves

# Example of a wave field imaged by a ship radar ( WaMoS)

WaMoS radar image from the  
Dutch coast

Corresponding 2-dimensional wave  
spectrum



courtesy OceanWaves

# Imaging radars

There are two types of side-looking airborne or spaceborne imaging radars: real-aperture radar (RAR) and synthetic aperture radar (SAR).

They use microwaves typically at frequencies between 400 MHz and 35.2 GHz corresponding to wavelength between 62 cm and 0.85 cm.

Common frequencies are:

9.4 GHz, 3.2 cm (X-band)

5.3 GHz, 5.7 cm (C-band)

1.25 GHz, 23.5 cm (L-band)

TerraSAR-X

Sentinel-1, Radarsat, Envisat, ERS

Seasat, ALOS Palsar

# **Principle of a RAR**

- **A short pulse is emitted by the antenna and then the amplitude of the reflected signal is recorded as a function of time.**
- **This is repeated again and again while the platform is moving**
- **Thus a 2-dimensional image is generated.**

# Side-looking airborne radar = **R**Real **A**pererture **R**adar (**RAR**)

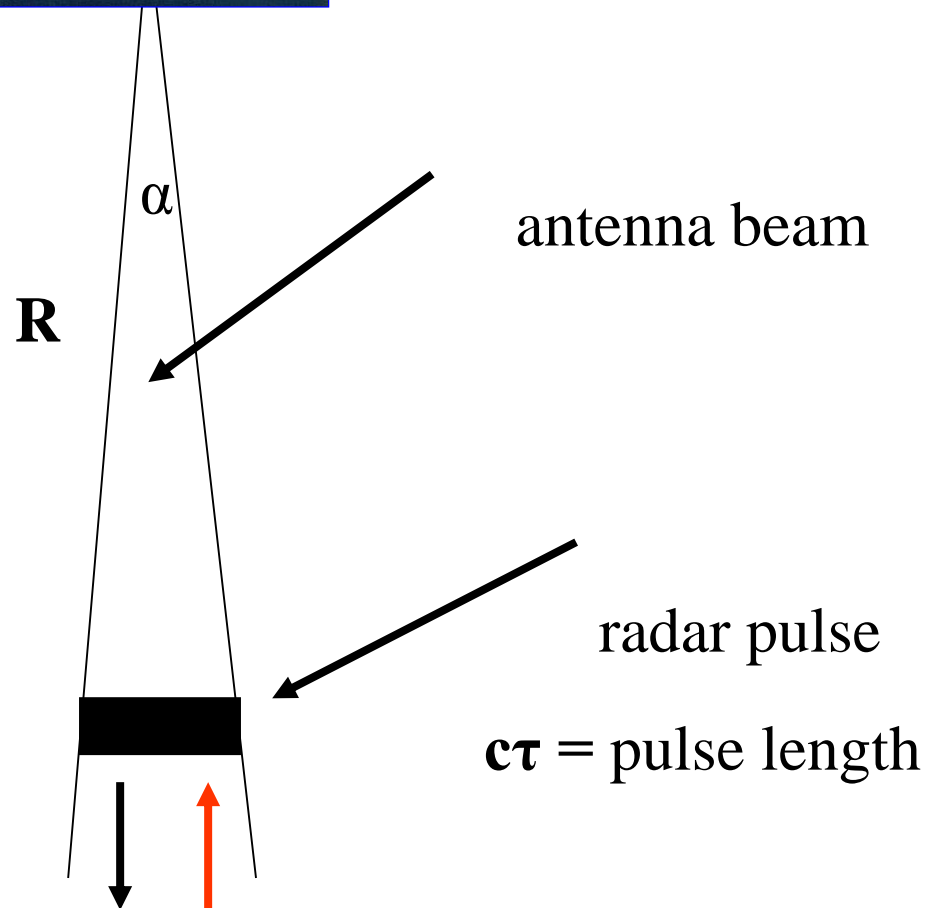


range resolution:

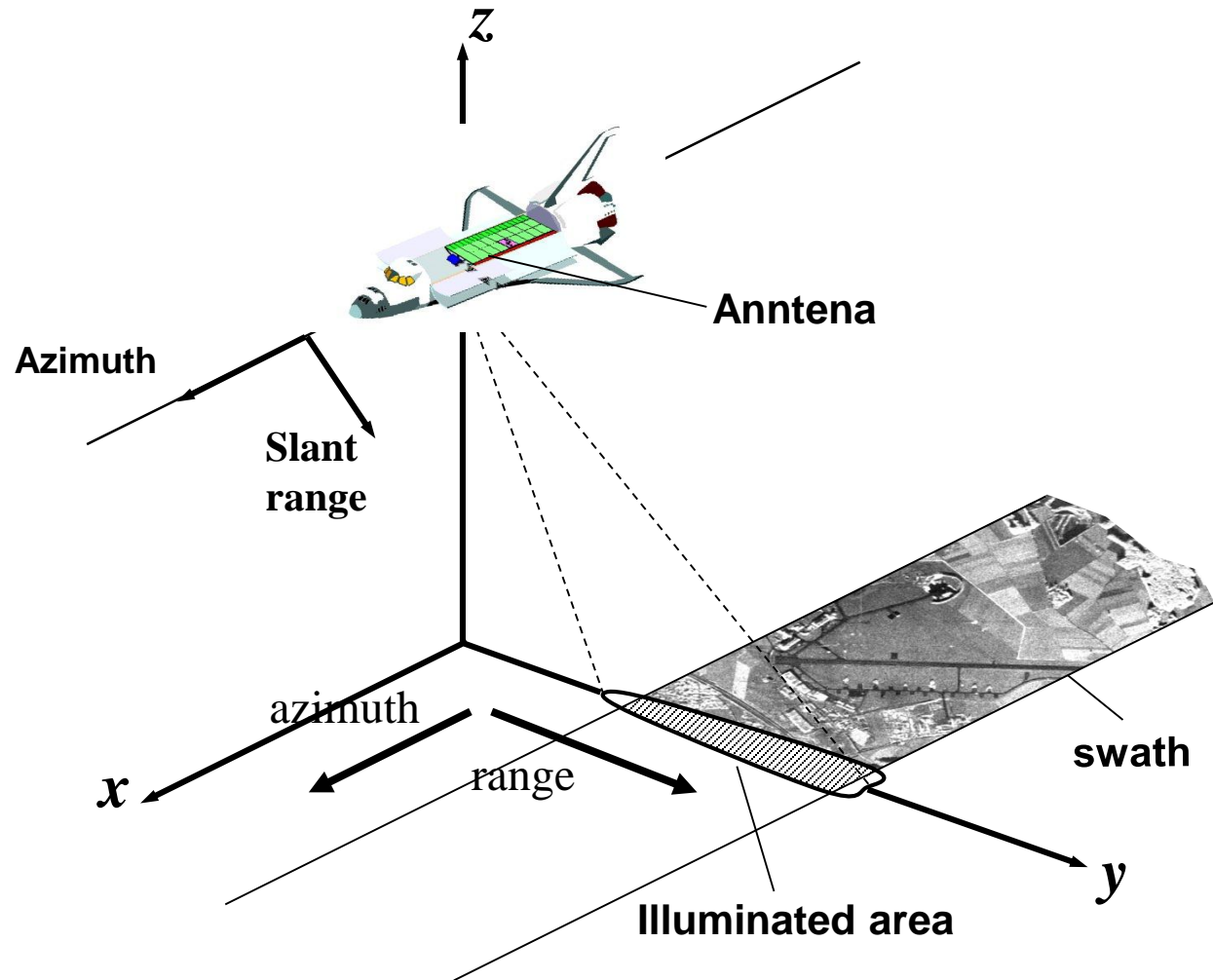
$$\rho_r = c \tau / 2$$

azimuth resolution:

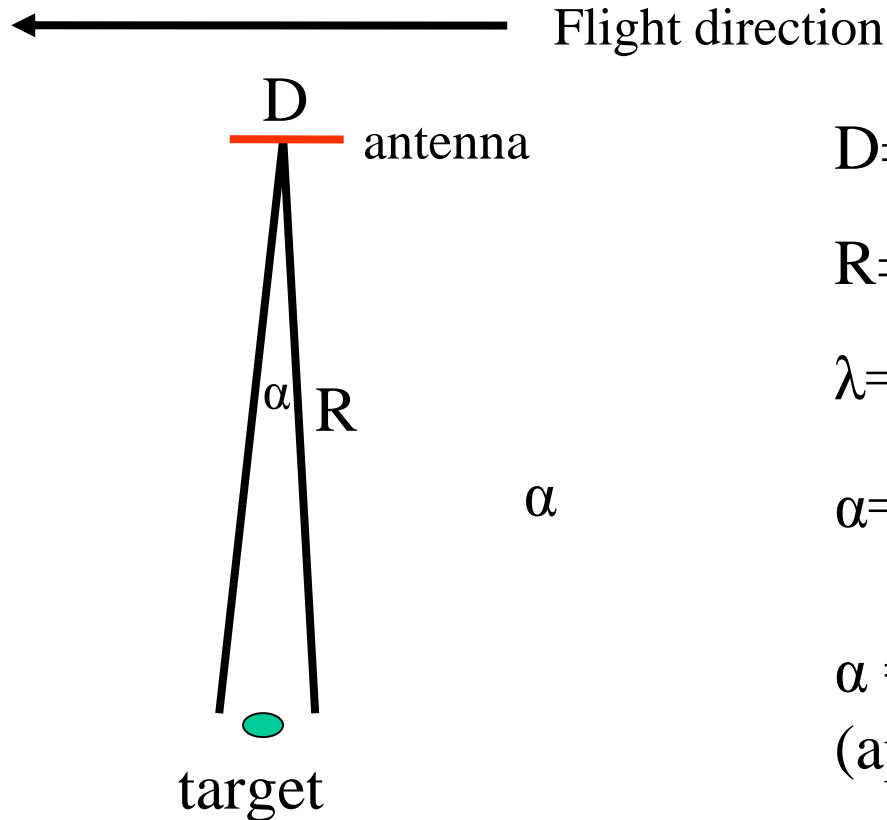
$$\rho_a = \alpha R$$



# Oblique view geometry



# Real Aperture Radar (RAR)



$D$  = antenna dimension

$R$  = range

$\lambda$  = radar wavelength

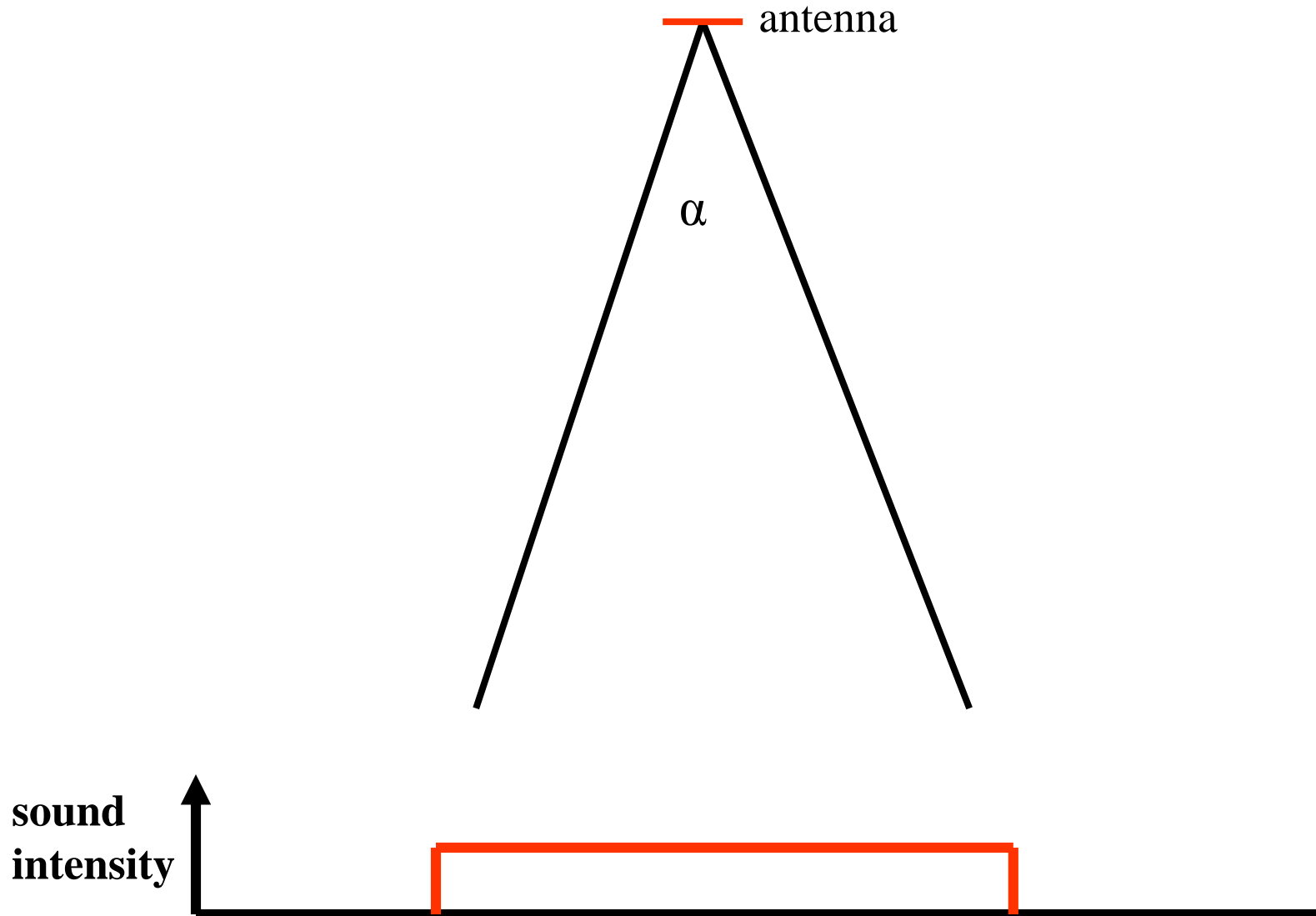
$\alpha$  = antenna beamwidth

$\alpha \approx \lambda/D$   
(approximately)

Resolution in flight direction (azimuthal resolution):  $\rho_a = \alpha R = R \lambda/D$

Resolution in range direction:  $\rho_r = c \tau/2$

# Acoustic analogy of a **RAR**

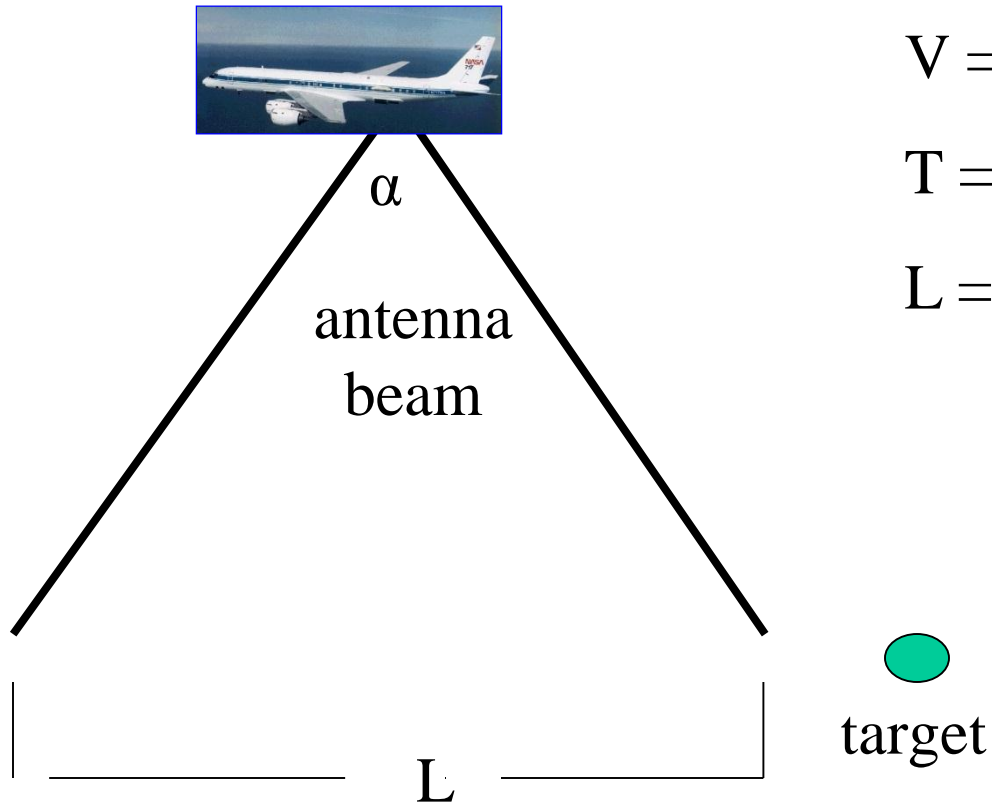


# How does a synthetic aperture radar (SAR) work?

## Principle of a SAR

- A short pulse is emitted by the antenna. This is repeated again and again while the platform is moving.
- Thus a 2-dimensional image is generated the antenna and then the amplitude **and phase** of the reflected signal is recorded as a function of time.

# Synthetic aperture radar

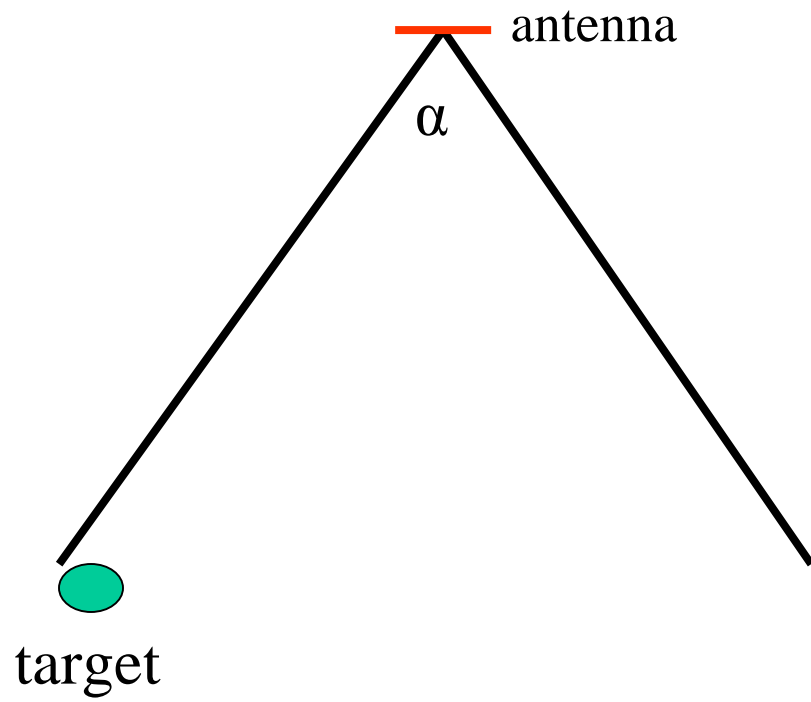


$V$  = platform velocity

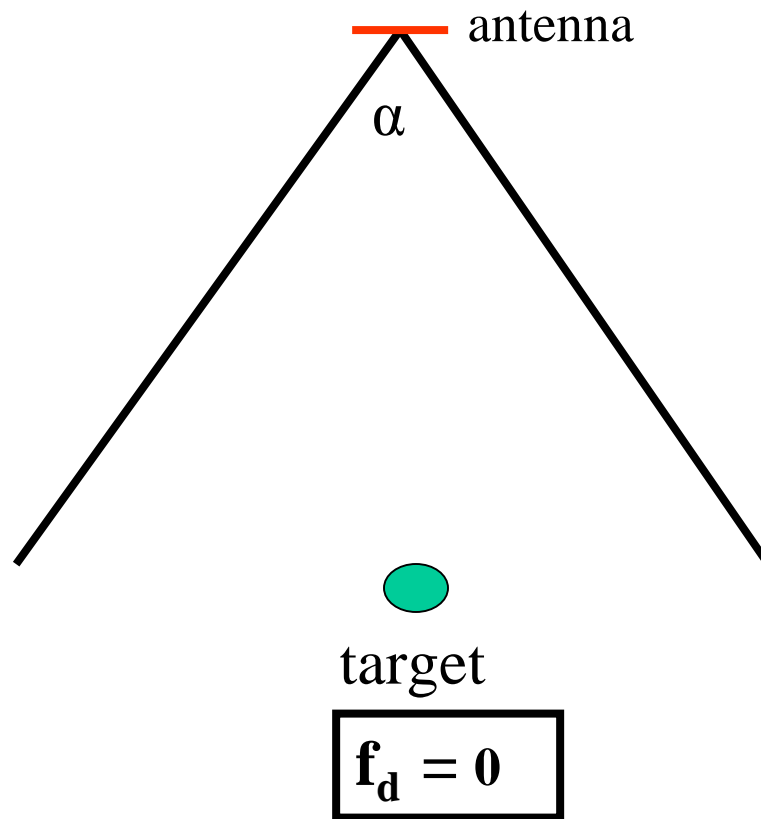
$T$  = integration time

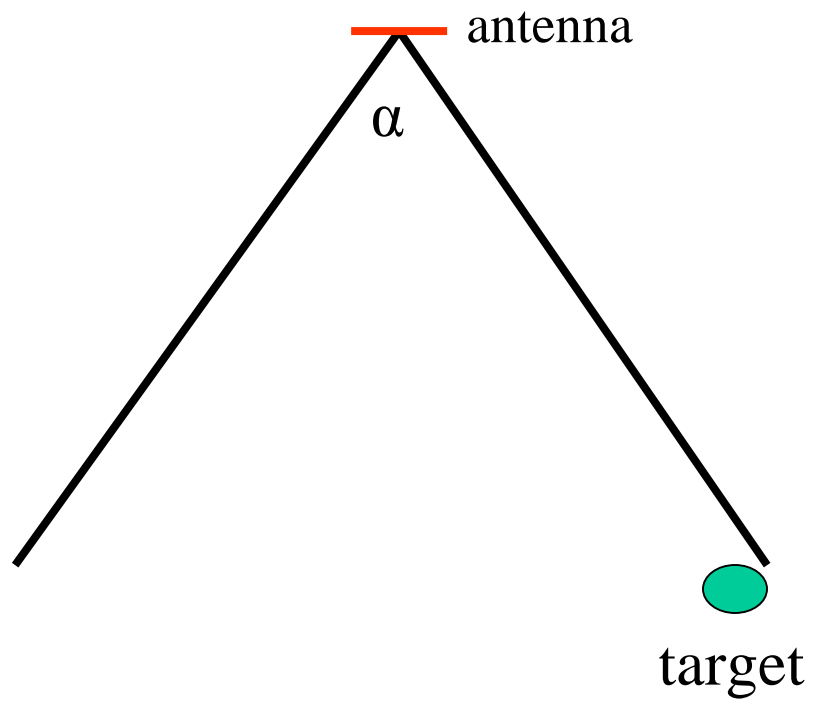
$L$  = length of the synthetic antenna

The target remains for  $T$  seconds in the antenna beam



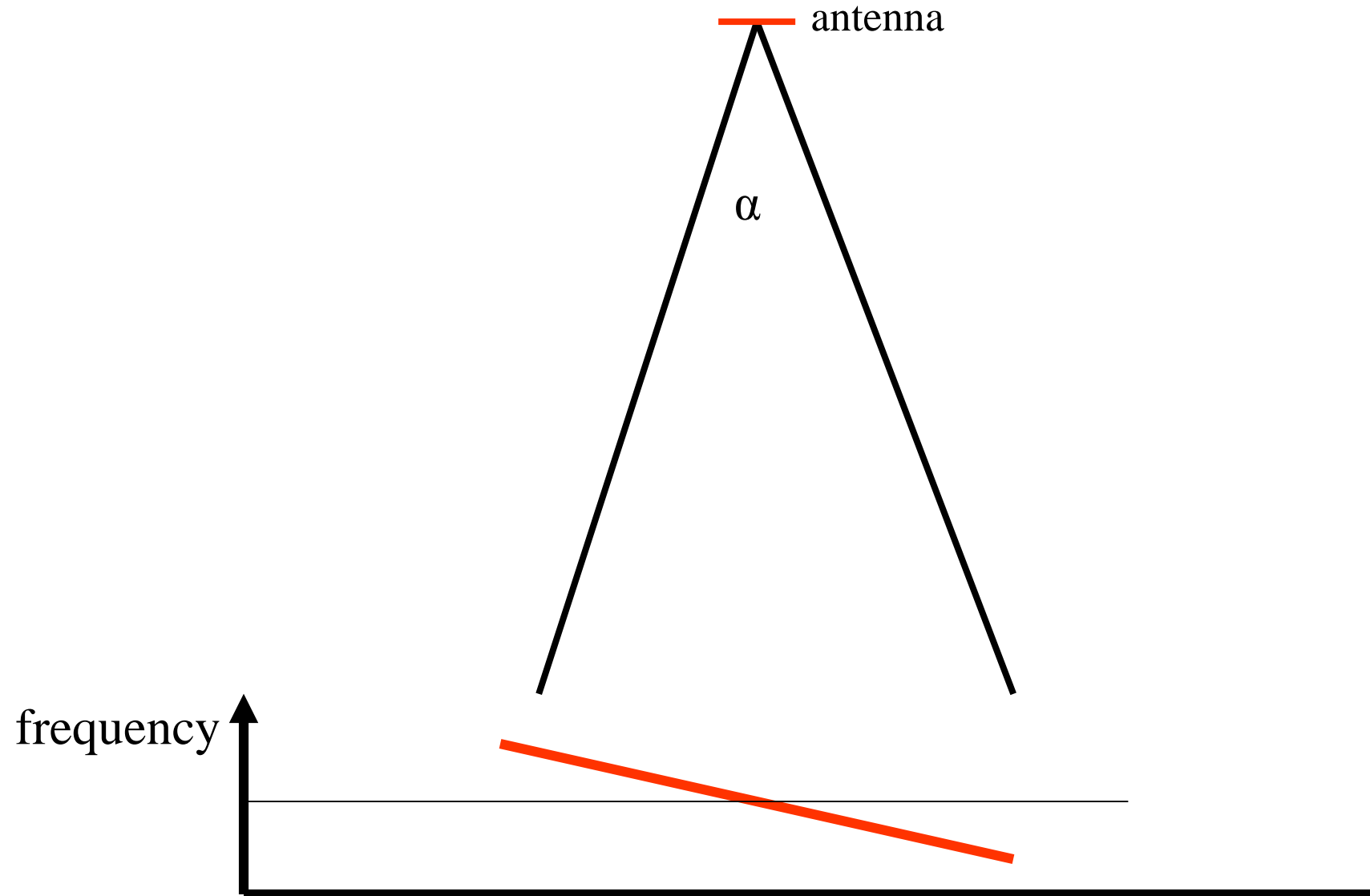
$$\mathbf{f_d} > \mathbf{0}$$

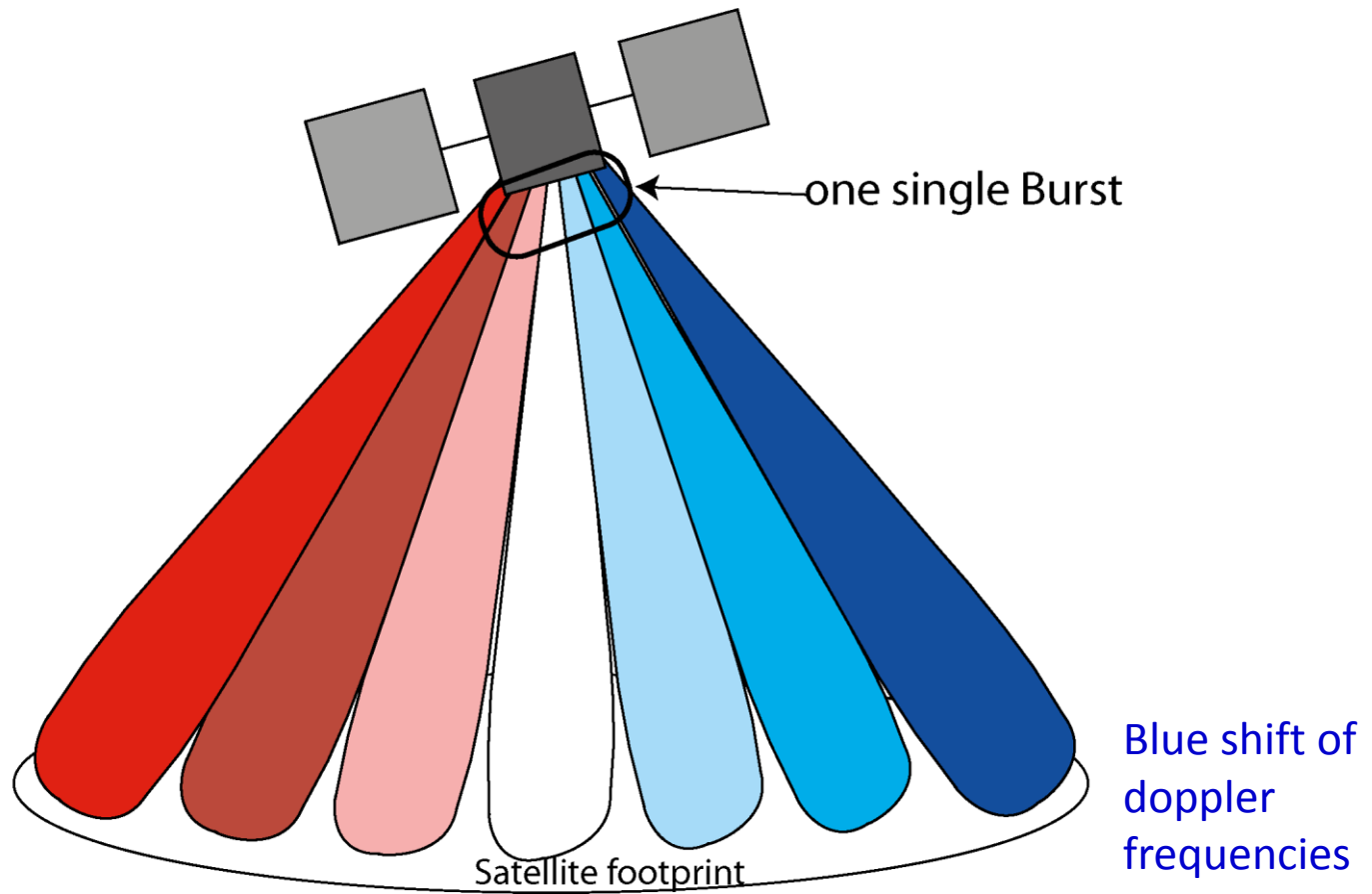


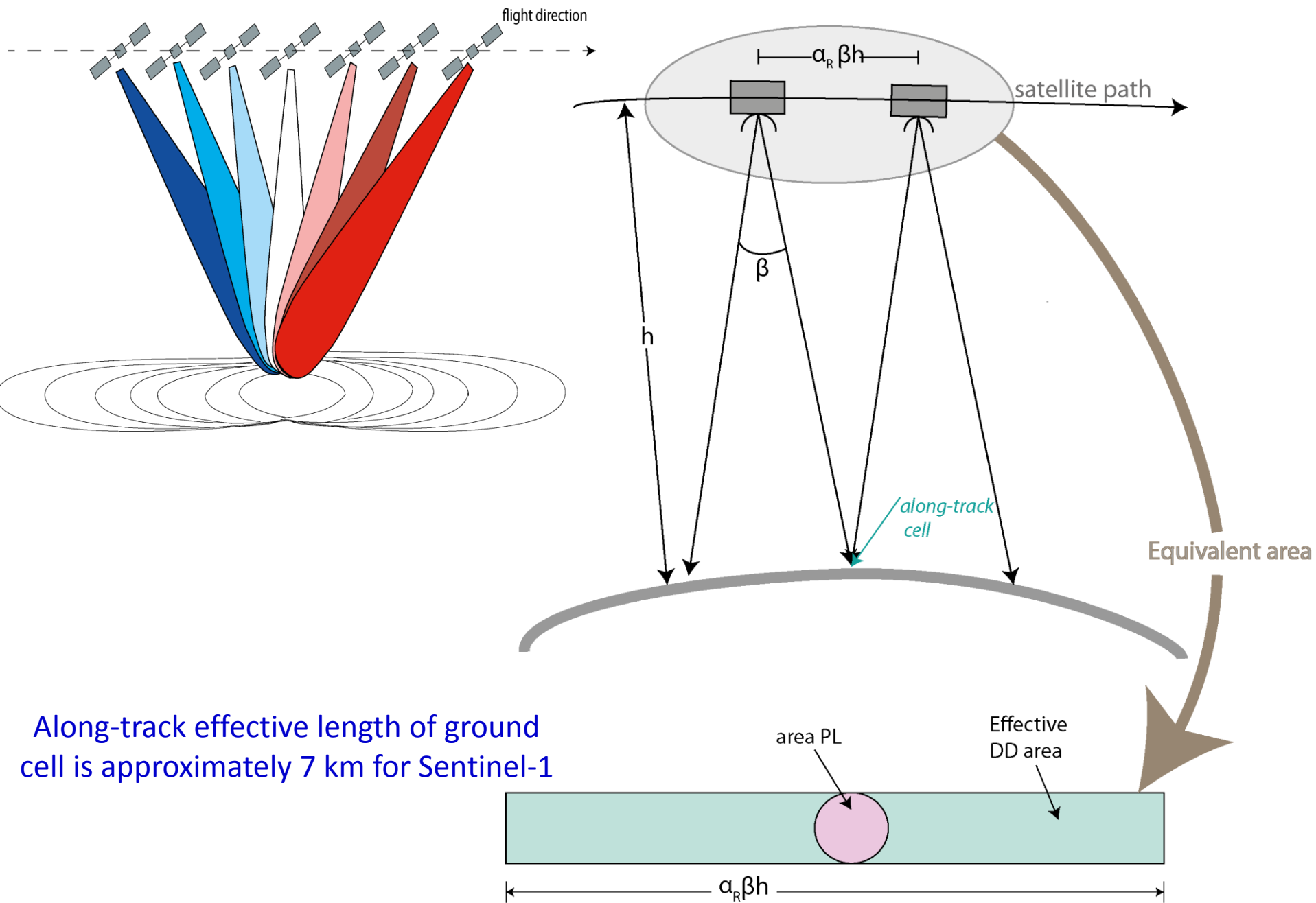


$$\mathbf{f_d} < \mathbf{0}$$

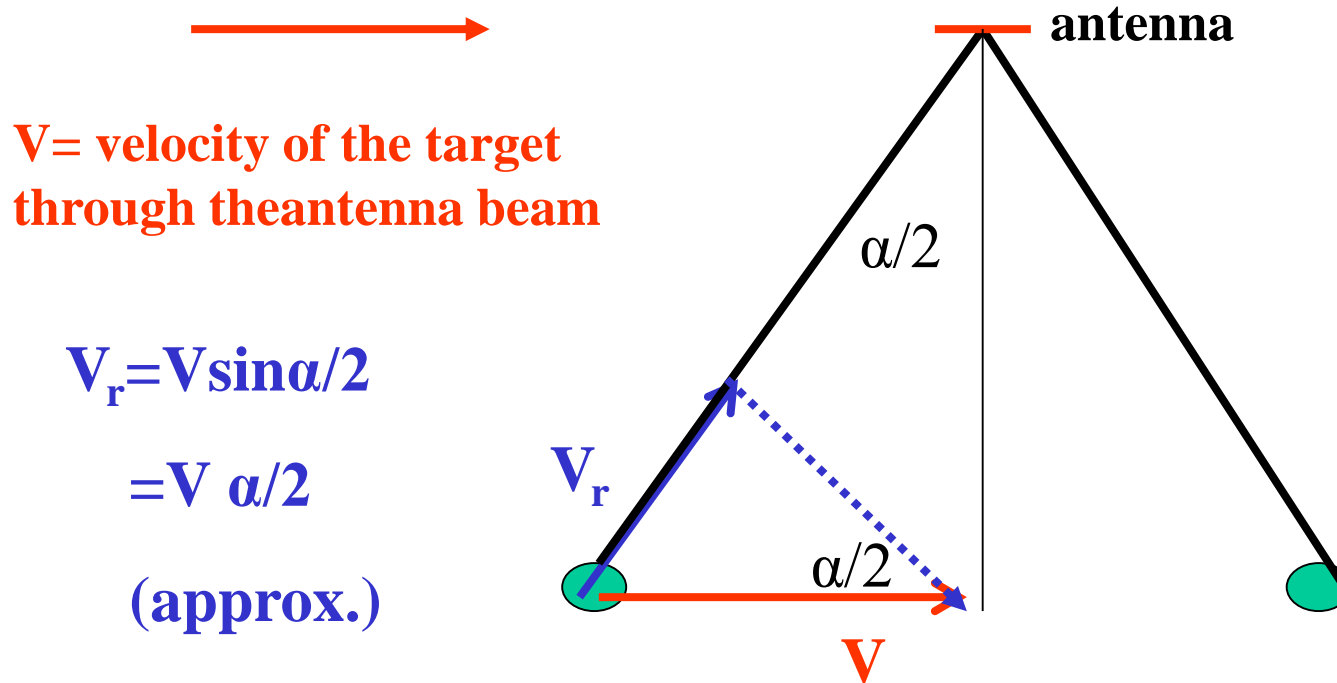
# Acoustic analogy of a SAR







# Change of the Doppler shift across the aperture



$$f_d = +2V_r/\lambda = +V\alpha/\lambda$$

$$\text{Change of the Doppler shift across the aperture} = f_d - (-f_d) = 2f_d = 2V_r/\lambda = 2V\alpha/\lambda$$

$2f_d = B$  is called the azimuthal bandwidth of the SAR

**Recall: General rule in signal processing:**

**If an electrical system has a bandwidth  $B$ , then it can resolve a signal that has a time interval of  $\Delta t = 1/B$ .**

**For SAR azimuthal resolution this implies:**

**The time interval that can be resolved:  $\Delta t = 1/B = 1/2f_d = \lambda / 2V \alpha = D/2V$  (because  $\alpha = \lambda / D$ ).**

**Spatial interval in flight direction that can be resolved  
= azimuthal resolution =  $\rho_a = V \Delta t = D/2$ .**

**Thus the azimuthal resolution of a SAR is independent of range and is inversely proportional to the dimension  $D$  of the antenna**

# Example: Seasat SAR

Satellite velocity  $V = 7.5 \text{ km/s}$

Antenna length in flight (azimuth direction):  $D = 10 \text{ m}$

➡ Bandwidth  $B = 2V/D = 1.5 \text{ kHz}$

➡ Resolution in azimuth  $= \rho_a = D/2$   
 $= 5 \text{ m}$

The synthetic enhancement of azimuth resolution in a SAR is called:  
“azimuth compression”

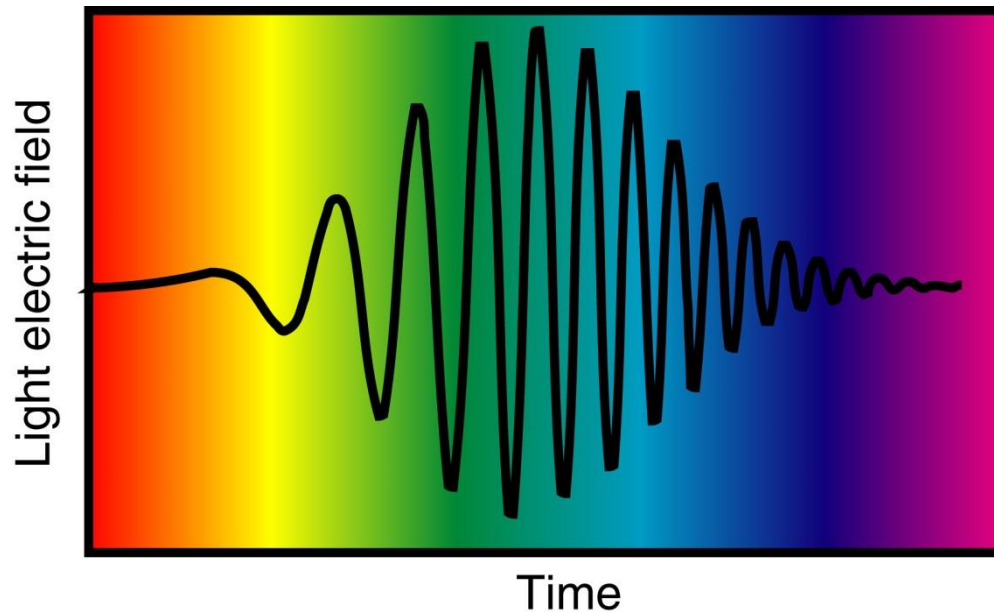
## **But a SAR also performs “Range Compression”**

- **Instead of using a short pulse, one uses a long pulse which is frequency modulated. This enables the power of the emitted pulse to be spread over a longer period, which is technically desirable.**
- **The short pulse is later generated in the SAR processing.**
- **Radar engineers call this „range compression“.**

Often a linearly modulated pulse is used, which then is called a „chirped pulse“.

# The Chirped Pulse

A pulse can have a frequency that varies in time.



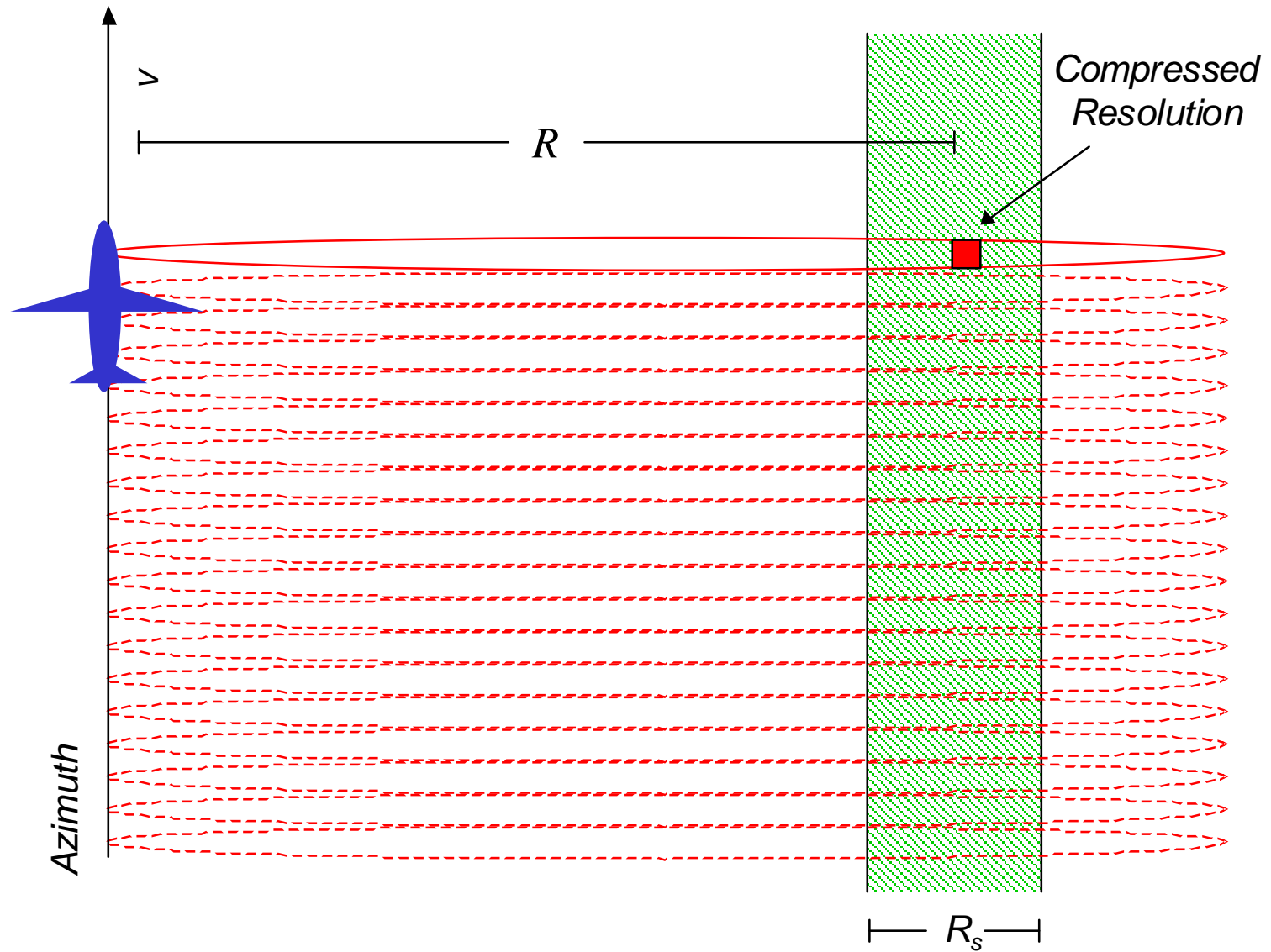
This pulse increases its frequency linearly in time (from red to blue).

In analogy to bird sounds, this pulse is called a "chirped" pulse.

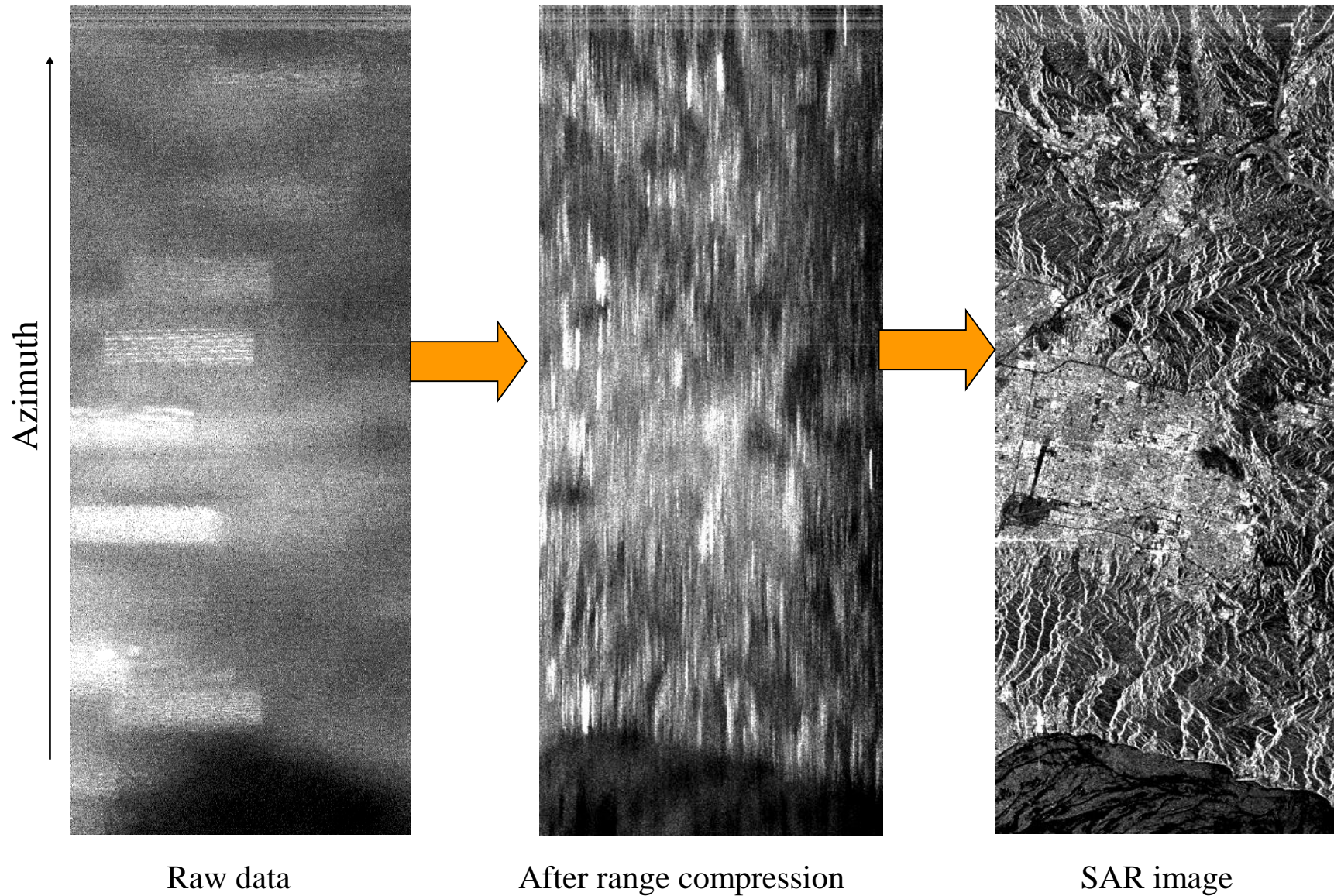
SAR processing is done in two steps:

First the signal is compressed in range direction and then it is compressed in azimuth direction.

# Synthetic Beams

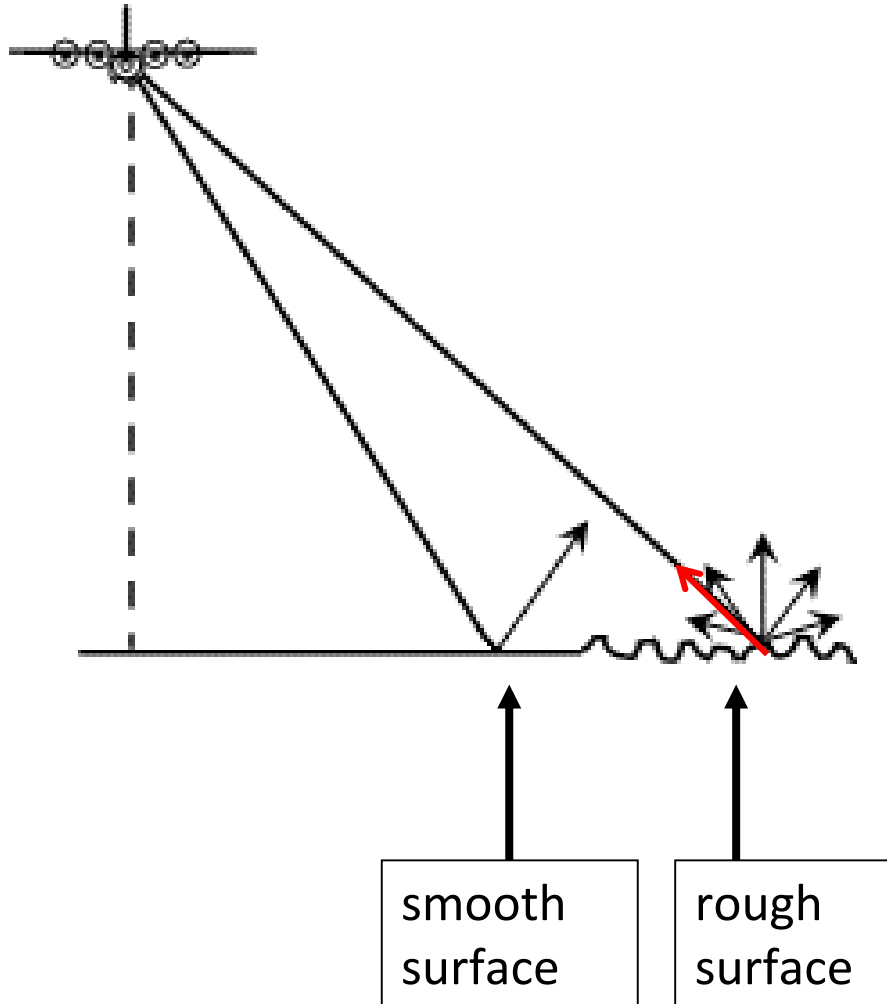


# SAR pulse compression in range and azimuth



# Radar backscattering from the sea surface

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- The microwaves (radar waves) do not penetrate into the water.
- Thus, the radar senses only the sea surface roughness.

# Example of a low backscatter SAR

TerraSAR-X 25.10.2008 Stripmap mode HH pol. (3 m resolution)



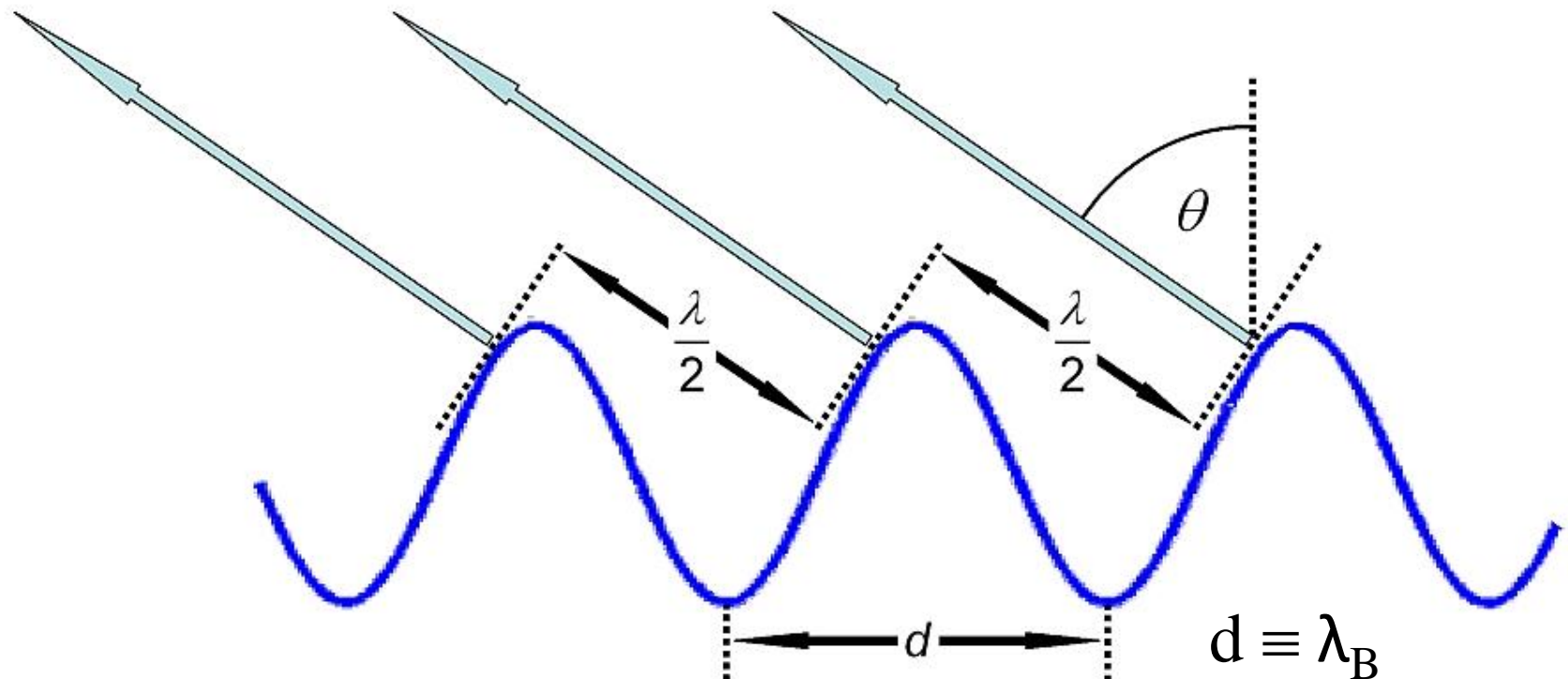
# First Order (Resonant) Bragg scattering

$\lambda_B \equiv$  Bragg wavelength

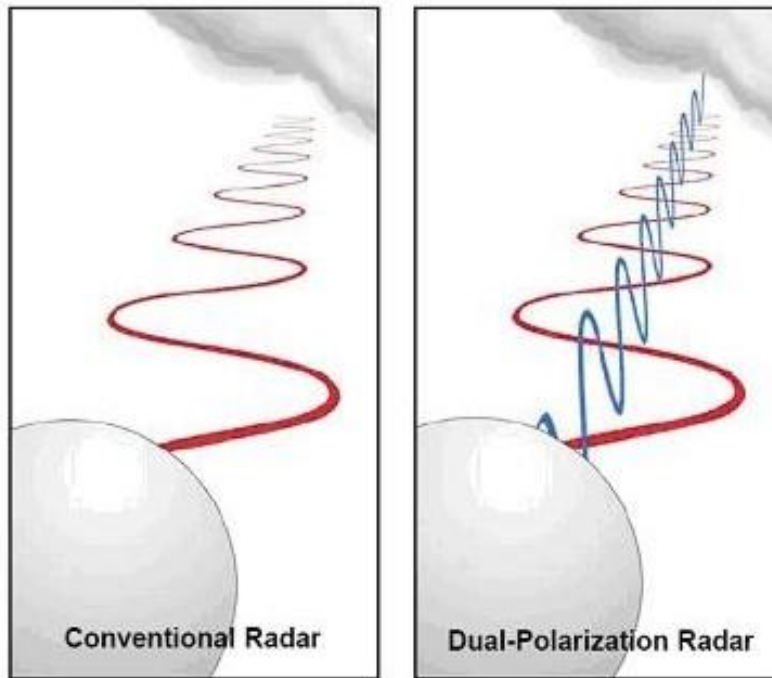
$\lambda \equiv$  Radar wavelength

$$\lambda_B = \lambda / 2 \sin \theta$$

**Bragg resonance condition**



# Dual Co-Polarized SAR Measurements of Internal Solitary Waves



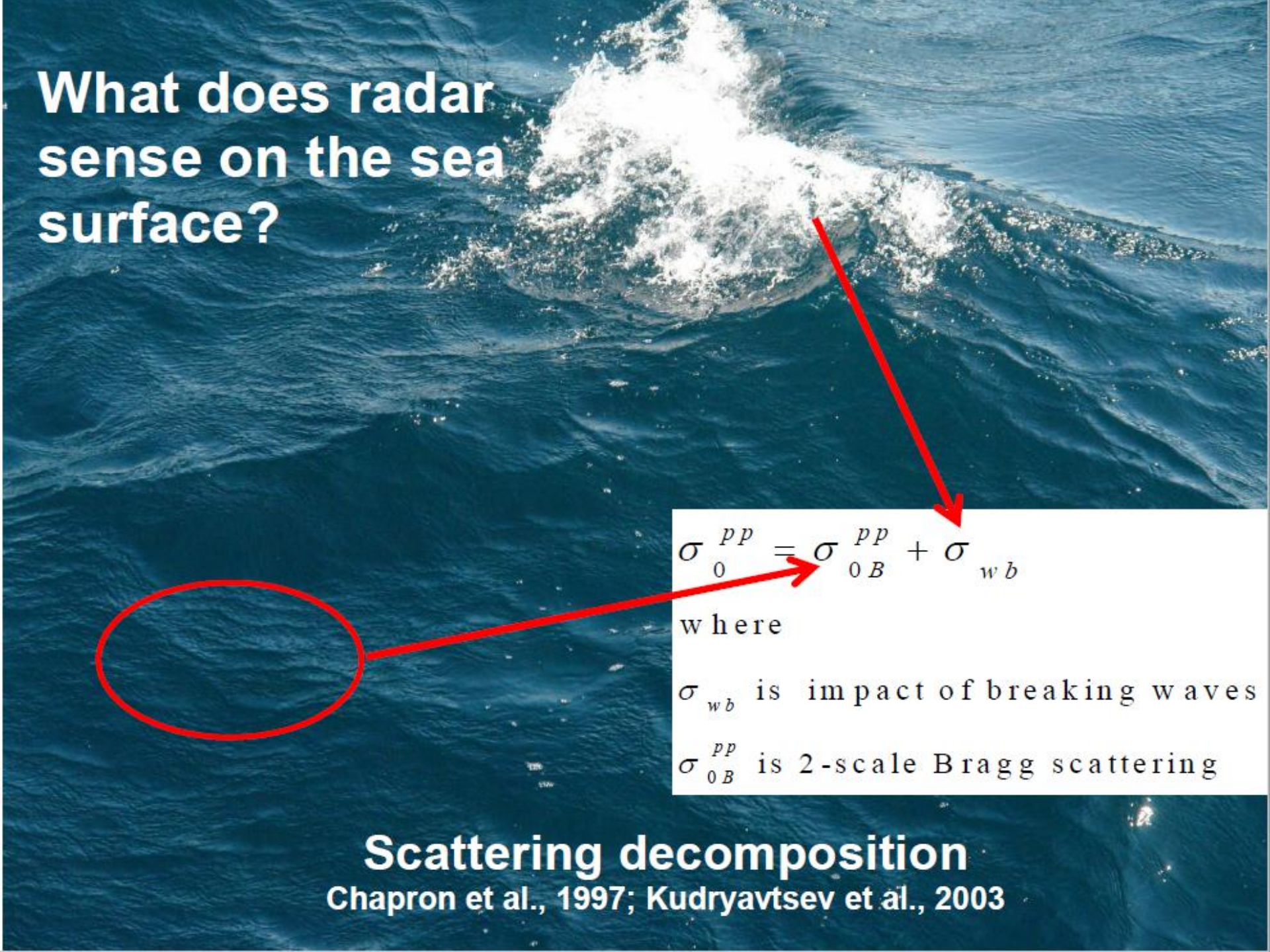
Transmitted waves: H, V

Received waves: H, V

HH  $\equiv$  H transmit; H received

VV  $\equiv$  V transmit; V received

What does radar  
sense on the sea  
surface?


$$\sigma_0^{pp} = \sigma_{0B}^{pp} + \sigma_{wb}$$

where

$\sigma_{wb}$  is impact of breaking waves

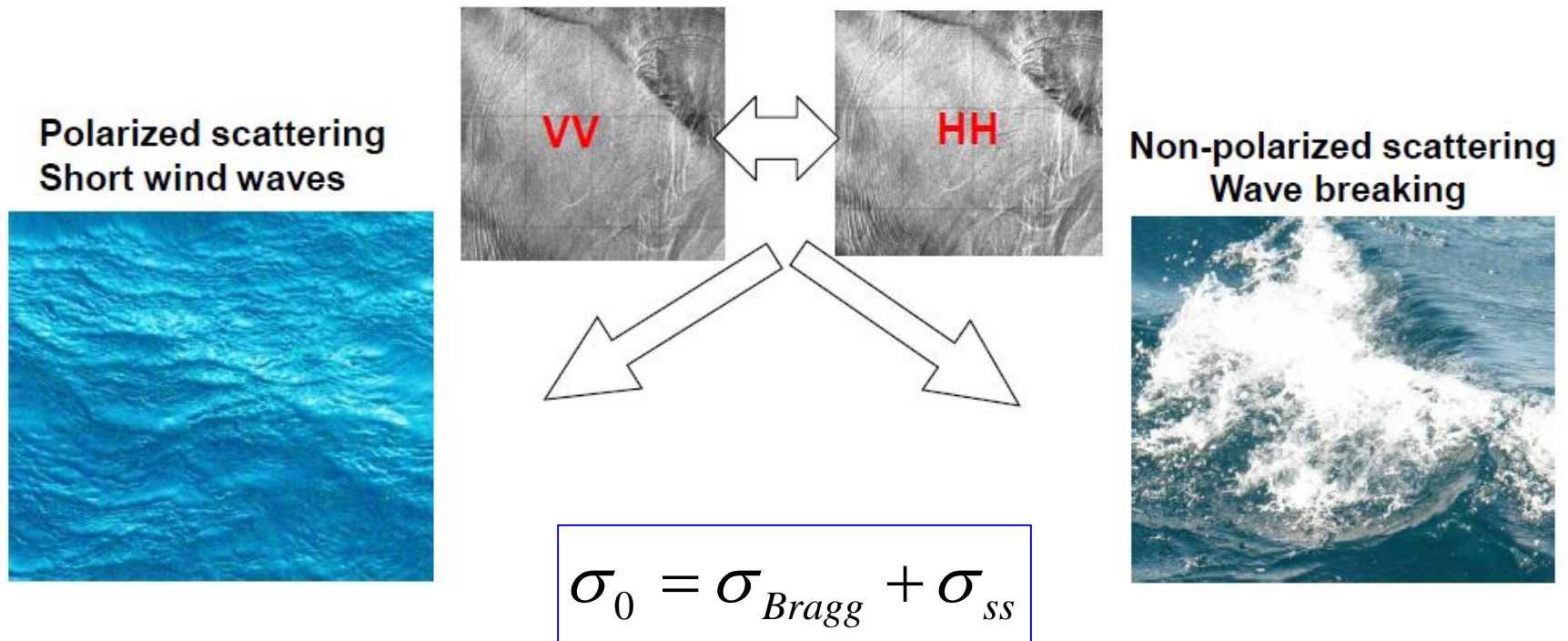
$\sigma_{0B}^{pp}$  is 2-scale Bragg scattering

**Scattering decomposition**  
Chapron et al., 1997; Kudryavtsev et al., 2003



The radar backscatter cross section per unit area is the sum of separate contributions from Bragg scattering and sea spikes from wave breaking.

VV and HH polarized images are transformed in 2 other new images describing very different surface properties:



$$\sigma_0^{pp} = \sigma_{0B}^{pp} + \sigma_{0nB}$$

Radar Cross Section is represented as sum of one polarized scattering component associated with conventional two-scale Bragg Scattering (Bragg waves superposed on longer waves):

$$\sigma_{0B}^{pp}$$

and one *nonpolarized* (NP) scattering component due to non-Bragg scattering, i.e.

$$\sigma_{0nB}$$

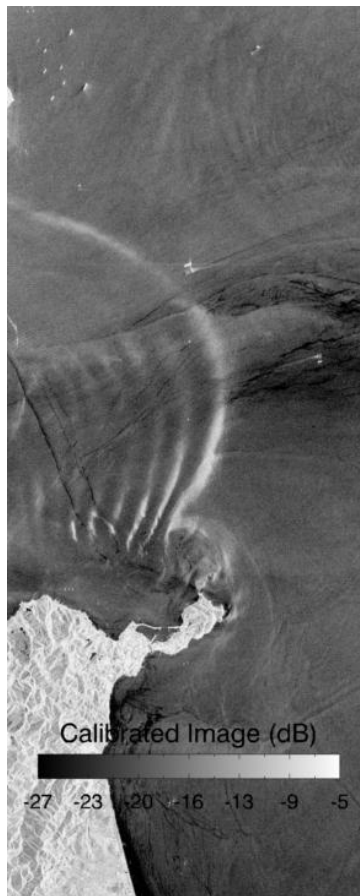
The *NP* component is expressed as:

$$NP = \sigma_{0nB} = \frac{\sigma_0^{VV} - p\sigma_0^{HH}}{1 - p}$$

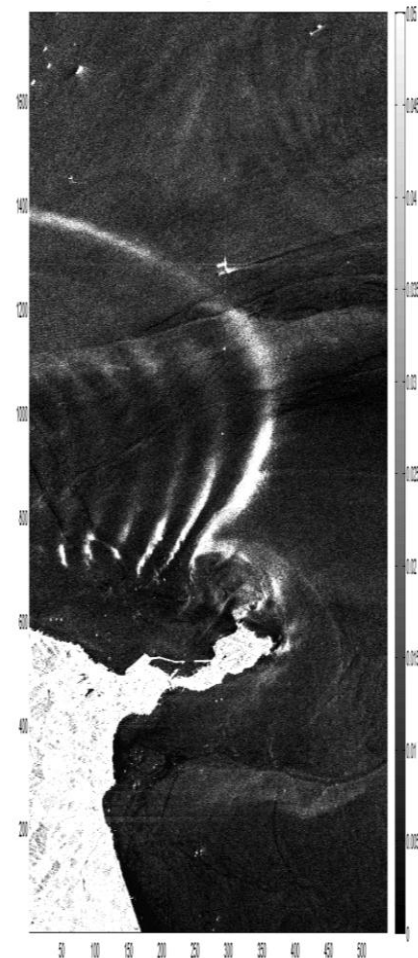
where  $p$  is a polarization ratio for the two-scale Bragg scattering model, i.e.

$$p = \frac{\sigma_{0B}^{VV}}{\sigma_{0B}^{HH}} \approx \frac{|G_{VV}|^2}{|G_{HH}|^2} [1 + (g_h - g_v) \cdot s_i^2]$$

# This theory has been tested using TerraSAR-X data



TerraSAR-X StripMap image, HH pol.  
17 August 2009, 06:29: 59 UTC,  
ID: dims\_op\_oc\_dfd2\_513403606\_1

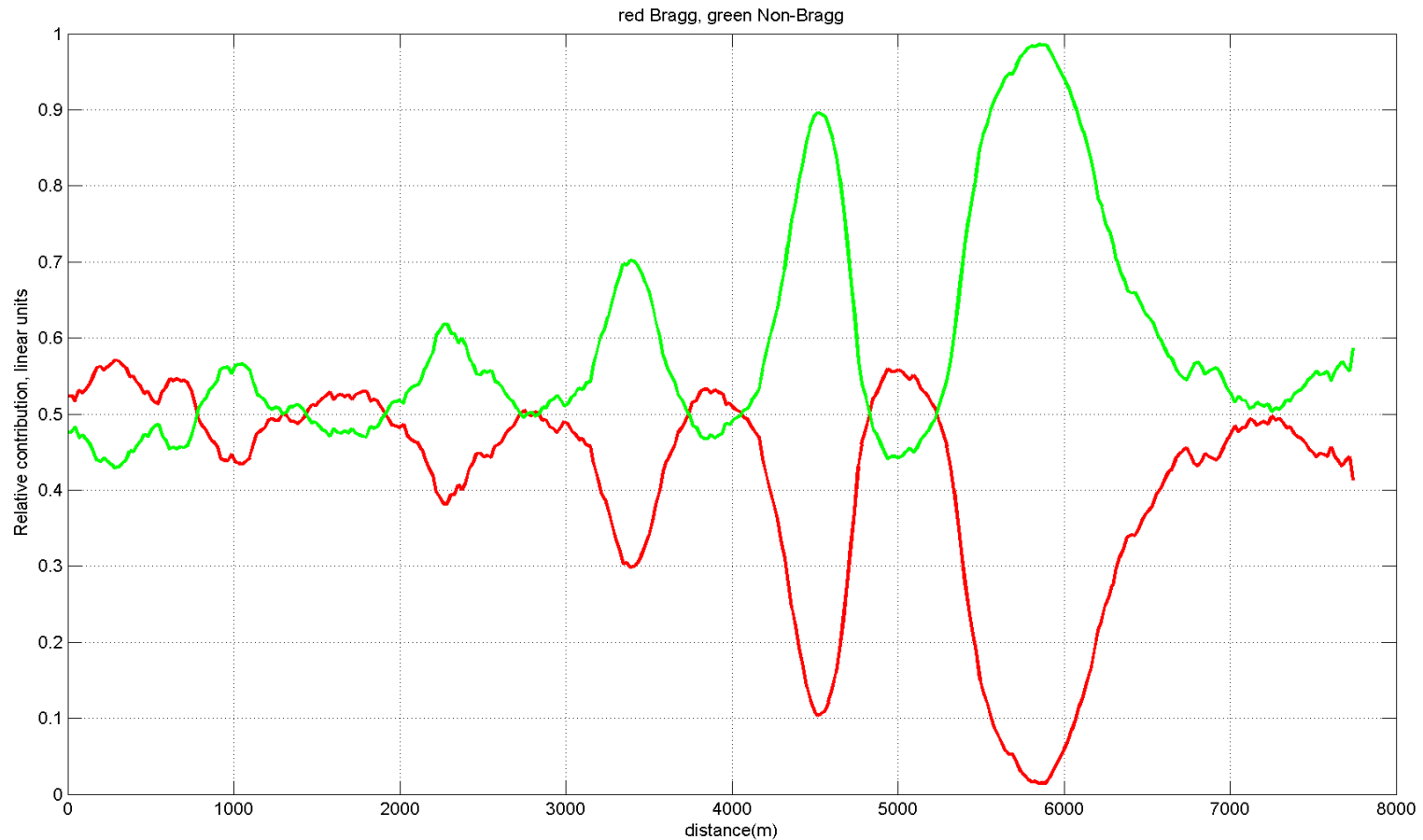


Non-polarized contribution  
to radar backscatter

# Wave breaking has to be taken into account

$$\sigma_0^p = \sigma_{\text{Bragg}}^p + \sigma_{\text{wb}}$$

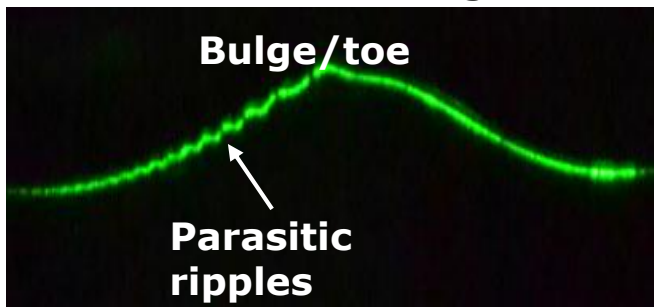
Percentage of **Bragg** & **non-Bragg** backscatter components



Transect along- internal solitary wave profile

# Laboratory experiments. Micro wave breaking in the presence of film slicks (Ermakov et al., 2018)

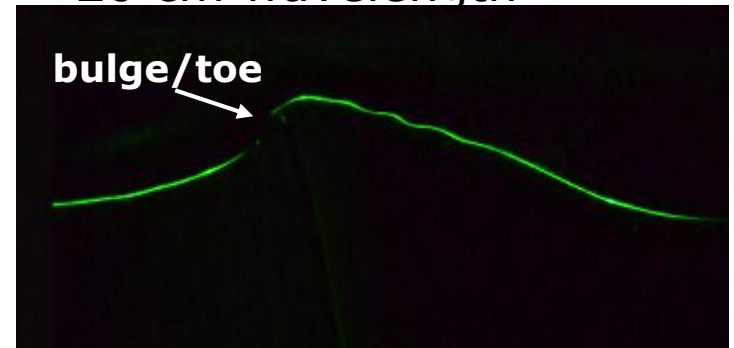
10 cm wavelength



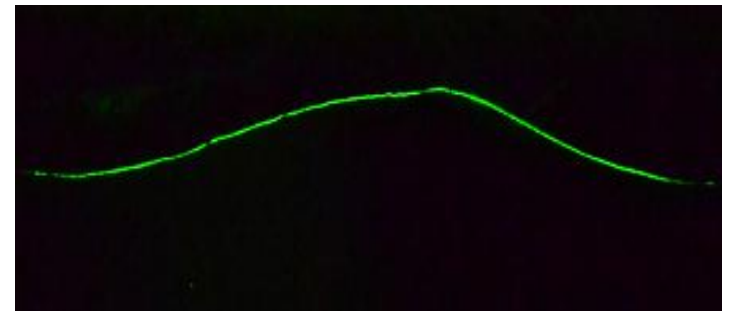
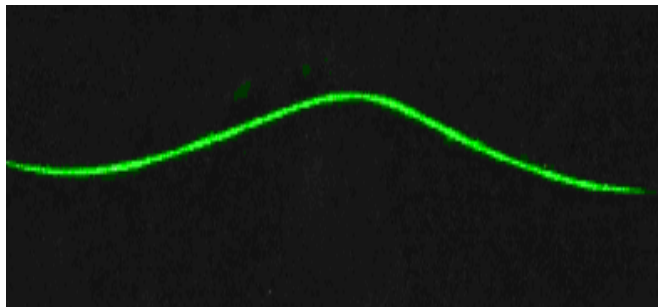
No film



20 cm wavelength



Film



Job  
Openings!

Post-Doctoral  
Research Position



UAVs

Satellite  
Remote  
Sensing

Surface  
Slicks

Microlayer

Mixed Layer

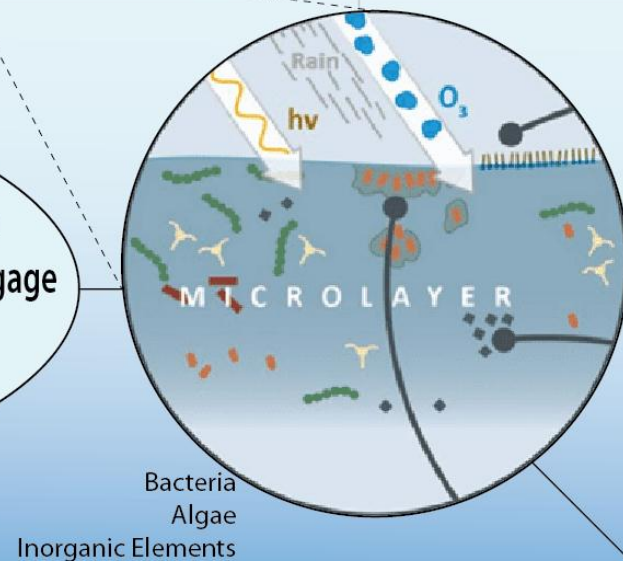
AUV Fleet

Deep Layer

We are looking for a scientist to study ocean surface films. The successful applicant will help develop an Autonomous Surface Vehicle to sample the ocean microlayer, and engage in field work at a river mouth and a small lagoon.

Send us your application now.

[jdasilva@fc.up.pt](mailto:jdasilva@fc.up.pt)



**End of Part II (Active Sensing)**

Thank you